

DECLARATION FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled ELECTROPLATING COMPOSITIONS AND METHODS FOR ELECTROPLATING, the specification of which

- ☒ is attached hereto.
- ☐ was filed on _____ as United States Patent Application No. _____.
- ☐ was described and claimed in PCT International Application No. _____, filed on _____, and as amended under PCT Articles 19 on _____ (if applicable).
- ☐ and was amended on _____ (if applicable).
- ☐ with amendments through _____ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 C.F.R. § 1.56. If this is a continuation-in-part application filed under the conditions specified in 35 U.S.C. § 120 which discloses claims and subject matter in addition to that disclosed in the prior copending application, I further acknowledge the duty to disclose material information as defined in 37 C.F.R. § 1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. § 119(a)-(d) of any foreign application(s) for patent or inventor's certificate or of any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the applications(s) on which priority is claimed:

| Number | Country | Day/Month/Year Filed | Claim Priority? | |
|--------|---------|----------------------|--------------------------|--------------------------|
| | | | <input type="checkbox"/> | <input type="checkbox"/> |
| | | | Yes | No |

I hereby claim the benefit under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below:

| Application Number | Filing Date |
|--------------------|-------------|
| | |


I hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s) or § 365(c) of any PCT international application(s) designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

| | | |
|---------------------------|--------------------|---|
| <u>Application Number</u> | <u>Filing Date</u> | <u>Status: patented, pending, abandoned</u> |
|---------------------------|--------------------|---|

Address all correspondence to the address associated with the customer number provided below:

Customer Number 24197

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

| | |
|--------------------------------|---|
| Name of First Inventor: | John L. Klocke |
| Residence: | Whitefish, MT Kalispell, MT |
| Mailing Address: | 1321 3rd Street, Whitefish, MT 59937 368 Shalzer Valley Dr., Kalispell, MT |
| Citizenship: | United States of America |
| Inventor's Signature |  |
| Date | 9/26/03 |

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JA

| | |
|---------------------------------|---|
| Name of Second Inventor: | Linlin Chen |
| Residence: | Plano, TX |
| Mailing Address: | 3213 Placid Springs Lane, Plano, TX 75025 |
| Citizenship: | Canada |
| Inventor's Signature | |
| Date | |

DECLARATION FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled ELECTROPLATING COMPOSITIONS AND METHODS FOR ELECTROPLATING, the specification of which

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- ☐ was filed on _____ as United States Patent Application No. _____.
- ☐ was described and claimed in PCT International Application No. _____, filed on _____, and as amended under PCT Articles 19 on _____ (if applicable).
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I acknowledge the duty to disclose information which is material to patentability as defined in 37 C.F.R. § 1.56. If this is a continuation-in-part application filed under the conditions specified in 35 U.S.C. § 120 which discloses claims and subject matter in addition to that disclosed in the prior copending application, I further acknowledge the duty to disclose material information as defined in 37 C.F.R. § 1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. § 119(a)-(d) of any foreign application(s) for patent or inventor's certificate or of any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the applications(s) on which priority is claimed:

| Number | Country | Day/Month/Year Filed | Claim Priority? |
|--------|---------|----------------------|---|
| | | | <input type="checkbox"/> Yes <input type="checkbox"/> No |

I hereby claim the benefit under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below:

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| <u>Application Number</u> | <u>Filing Date</u> | <u>Status: patented, pending, abandoned</u> |
|---------------------------|--------------------|---|
|---------------------------|--------------------|---|

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Customer Number 24197

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| | |
|--------------------------------|--------------------------------------|
| Name of First Inventor: | John L. Klocke |
| Residence: | Whitefish, MT |
| Mailing Address: | 1321 3rd Street, Whitefish, MT 59937 |
| Citizenship: | United States of America |
| Inventor's Signature | Date |

| | |
|---------------------------------|---|
| Name of Second Inventor: | Linlin Chen |
| Residence: | Plano, TX |
| Mailing Address: | 3213 Placid Springs Lane, Plano, TX 75025 |
| Citizenship: | Canada USA L.C. |
| Inventor's Signature | Date 10/11/2003 |

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

John L. Klocke and Linlin Chen

Application No. —

Filed: Herewith

Confirmation No.

For: ELECTROPLATING COMPOSITIONS
AND METHODS FOR ELECTROPLATING

Examiner: Not yet assigned

Art Unit: Not yet assigned

Attorney Reference No. 6884-65576

COMMISSIONER FOR PATENTS
P.O. BOX 1450
ALEXANDRIA, VA 22313-1450

**POWER OF ATTORNEY BY ASSIGNEE AND
CERTIFICATE BY ASSIGNEE UNDER 37 CFR § 3.73(b)**

SEMITOOL, INC., the Assignee of the entire right, title and interest in the above-identified patent application by Assignment attached hereto, hereby appoints the attorneys and agents of the firm of KLARQUIST SPARKMAN, LLP, listed as follows:

| Name | Reg. No. | Name | Reg. No. |
|-----------------------|----------|-------------------------|----------|
| ANDON, Christopher L. | 51,406 | MC LEOD, Richard D. | 46,921 |
| BAKER, Theodore W. | 53,961 | MAURER, Gregory L. | 43,781 |
| BIBLE, Patrick M. | 44,423 | NOONAN, William D. | 30,878 |
| BUNKER, Gillian | 47,461 | PETERSEN, David P. | 28,106 |
| CALDWELL, Lisa M. | 41,653 | POLLEY, Richard J. | 28,107 |
| CARLSON, Anne | 47,472 | RINEHART, Kyle B. | 47,027 |
| CASH, David | 52,706 | RUPERT, Wayne W. | 34,420 |
| GIRARD, Michael P. | 38,467 | RYBAK, Sheree L. | 47,913 |
| GOFF, Jared S. | 44,716 | SIEGEL, Susan Alpert | 43,121 |
| GORDON, Debra A. | 54,128 | SLATER, Stacey C. | 36,011 |
| HAENDLER, Jeffrey B. | 43,652 | STEPHENS Jr., Donald L. | 34,022 |
| HARDING, Tanya M. | 42,630 | STUART, John W. | 24,540 |
| HAYES, Kevin M. | 54,158 | VANDENBERG, John D. | 31,312 |
| JAKUBEK, Joseph T. | 34,190 | WAGNER, Justin D. | 54,519 |
| JONCUS, Stephen J. | 44,809 | WHINSTON, Arthur L. | 19,155 |
| JONES, Michael D. | 41,879 | WIGHT, Stephen A. | 37,759 |
| KLARQUIST, Kenneth S. | 16,445 | WINN, Garth A. | 33,220 |
| KLITZKE II, Ramon A. | 30,188 | YOUNG, Travis | 53,819 |
| LEIGH, James S. | 20,434 | ZASTROW, Devon J. | 50,206 |

and also attorney Harry M. Cross Jr. (Reg. No. 22,229) of Semitool, Inc. as its attorneys with full power of substitution to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

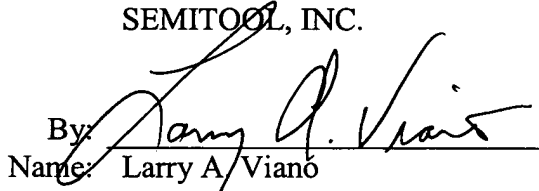
The Assignee certifies that the above-identified Assignment has been reviewed and to the best of Assignee's knowledge and belief, title is in the Assignee, and a copy of the Assignment is submitted herewith.

Please address all correspondence to Lisa M. Caldwell at:

KLARQUIST SPARKMAN, LLP
One World Trade Center, Suite 1600
121 S.W. Salmon Street
Portland, OR 97204-2988
Telephone: (503) 226-7391
Fax: (503) 228-9446

SEMITOOL, INC.

Date: 9/29/03

By: 
Name: Larry A. Viano
Title: Secretary and Chief Financial Officer

Attachments: Copy of Assignment

A S S I G N M E N T

WHEREAS, we, John L. Klocke, of ^{Kalispell JK}~~Whitefish~~, County of Flathead, State of Montana, and Linlin Chen, of Plano, County of Collin, State of Texas, have invented a certain invention, entitled ELECTROPLATING COMPOSITIONS AND METHODS FOR ELECTROPLATING, for which we are making application for Letters Patent of the United States, said application being filed concurrently herewith; and

WHEREAS, Semitool, Inc., a corporation organized under the laws of the State of Montana, having a place of business at 655 West Reserve Drive, Kalispell, Montana 59901, desires to acquire an interest therein:

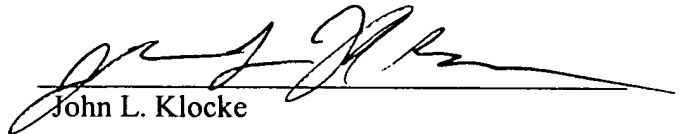
NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, we, John L. Klocke and Linlin Chen, hereby sell, assign and transfer to Semitool, Inc., the full and exclusive right, title and interest in and to said invention, patent application and patent rights throughout the world, including foreign patent priority rights, the right to file and prosecute International Applications under the Patent Cooperation Treaty, and the right to file and prosecute applications under the European Patent Convention; said invention, application and letters patent in this or any foreign country, and all divisions, continuations, reissues and extensions thereof, to be held and enjoyed by Semitool, Inc., for its own use and benefit, and for its successors and assigns to the full end of the term for which letters patent may be granted in this or any foreign country, as fully and entirely as the same would have been held by us had this assignment and sale not been made, and covenant that we have full right so to do, and agree that we will communicate to Semitool, Inc., or its successors and assigns, any facts known to us respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths, and do everything

possible to aid Semitool, Inc., its successors and assigns to obtain and enforce proper patent protection for said invention in this or any foreign country.

We hereby grant the law firm of Klarquist Sparkman, LLP, the power to insert on this Assignment any further information which may be necessary or desirable in order to comply with all applicable legal requirements, including the rules of the United States Patent and Trademark Office, for submitting and recording this document.

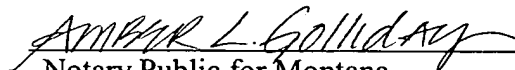
Executed at the place and date opposite our respective signatures below.

At Kalispell, MT
(City and State)
on this 26 day of September, 2003.


John L. Klocke

STATE OF MONTANA)
)
COUNTY OF Flathead) ss.

This 26 day of SEPTEMBER, 2003, before me personally came the above-named John L. Klocke, who executed the foregoing instrument in my presence, and who acknowledged to me that he executed the same of his own free will for the purposes set forth therein.


Notary Public for Montana
My commission expires: 6/16/2007

[SEAL]

A S S I G N M E N T

WHEREAS, we, John L. Klocke, of Whitefish, County of Flathead, State of Montana, and Linlin Chen, of Plano, County of Collin, State of Texas, have invented a certain invention, entitled ELECTROPLATING COMPOSITIONS AND METHODS FOR ELECTROPLATING, for which we are making application for Letters Patent of the United States, said application being filed concurrently herewith; and

WHEREAS, Semitool, Inc., a corporation organized under the laws of the State of Montana, having a place of business at 655 West Reserve Drive, Kalispell, Montana 59901, desires to acquire an interest therein:

NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, we, John L. Klocke and Linlin Chen, hereby sell, assign and transfer to Semitool, Inc., the full and exclusive right, title and interest in and to said invention, patent application and patent rights throughout the world, including foreign patent priority rights, the right to file and prosecute International Applications under the Patent Cooperation Treaty, and the right to file and prosecute applications under the European Patent Convention; said invention, application and letters patent in this or any foreign country, and all divisions, continuations, reissues and extensions thereof, to be held and enjoyed by Semitool, Inc., for its own use and benefit, and for its successors and assigns to the full end of the term for which letters patent may be granted in this or any foreign country, as fully and entirely as the same would have been held by us had this assignment and sale not been made, and covenant that we have full right so to do, and agree that we will communicate to Semitool, Inc., or its successors and assigns, any facts known to us respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths, and do everything

possible to aid Semitool, Inc., its successors and assigns to obtain and enforce proper patent protection for said invention in this or any foreign country.

We hereby grant the law firm of Klarquist Sparkman, LLP, the power to insert on this Assignment any further information which may be necessary or desirable in order to comply with all applicable legal requirements, including the rules of the United States Patent and Trademark Office, for submitting and recording this document.

Executed at the place and date opposite our respective signatures below.

John L. Klocke

At _____
(City and State)
on this ____ day of _____, 2003.

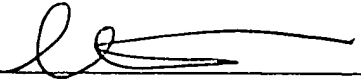
STATE OF MONTANA)
) ss.
COUNTY OF _____)

This ____ day of _____, 2003, before me personally came the above-named John L. Klocke, who executed the foregoing instrument in my presence, and who acknowledged to me that he executed the same of his own free will for the purposes set forth therein.

Notary Public for Montana

My commission expires: _____

[SEAL]

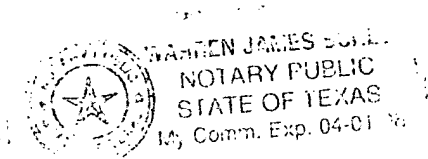


Linlin Chen

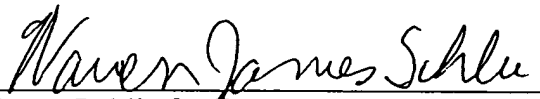
At Plano, TX
(City and State)
on this 11 day of Oct, 2003.

STATE OF TEXAS)
) ss.
COUNTY OF Collin)

This 11 day of October, 2003, before me personally came the above-named Linlin Chen, who executed the foregoing instrument in my presence, and who acknowledged to me that he executed the same of his own free will for the purposes set forth therein.



[SEAL]



Notary Public for Texas
My commission expires: 04-01-2006